

2143

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PTO/SB/21 (modified)  
Approved for use through xx/xx/xx, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO  
Rev. 10/95

U.S. Department of Commerce  
Patent and Trademark Office

## TRANSMITTAL FORM

(to be used for all correspondence during pendency of  
filed application)

Application Number

09/397,491

Filing Date

September 15, 1999

First Named Inventor

Stanislav Khirman

Group Art Unit Number

2143

Examiner Name

George C. Neurauter

Total Number of Pages in This Submission

15

Attorney Docket Number

22501-05496

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### ENCLOSURES (check all that apply)

- |                                                                                                                                   |                                                                                               |
|-----------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input type="checkbox"/> Check Enclosed                | <input type="checkbox"/> Issue Fee Transmittal                                                |
| <input checked="" type="checkbox"/> Return Receipt Postcard                                                                       | <input type="checkbox"/> Letter to Chief Draftsperson                                         |
| <input type="checkbox"/> Response to Notice to File Missing Parts                                                                 | <input type="checkbox"/> Formal Drawing(s):<br>[ ] Sheet(s) of Figure(s) [ ]                  |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet                                                                     | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences           |
| <input type="checkbox"/> Declaration                                                                                              | <input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> Power of Attorney                                                                                        | <input type="checkbox"/> Certified Copy of Priority Document(s)                               |
| <input type="checkbox"/> Application Data Sheet                                                                                   | <input type="checkbox"/> After Allowance Communication to Group                               |
| <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08A<br><input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/>                                                                      |
| <input type="checkbox"/> Request for Corrected Filing Receipt                                                                     | <input type="checkbox"/>                                                                      |
| <input type="checkbox"/> Request for Correction of Recorded Assignment                                                            | <input type="checkbox"/>                                                                      |
| <input checked="" type="checkbox"/> Amendment/Response: 12 Pages<br><input type="checkbox"/> After Final                          | <input type="checkbox"/>                                                                      |
| <input type="checkbox"/> Status Request                                                                                           | <input type="checkbox"/>                                                                      |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney                                                              | <input type="checkbox"/>                                                                      |

REMARKS:

### SIGNATURE OF ATTORNEY OR AGENT

Signature:

*Rajiv Patel*

Attorney/Reg. No.:

Rajiv P. Patel, Reg. No.: 39,327

Dated:

February 19, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:

*Rajiv Patel*

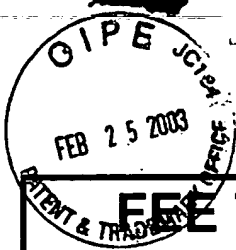
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Dated:

February 19, 2003

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PTO/SB/17 (10-02) (Modified)  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$)** 0.00

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 09/397,491          |
| Filing Date          | September 15, 1999  |
| First Named Inventor | Stanislav Khirman   |
| Examiner Name        | George C. Neurauter |
| Art Unit             | 2143                |
| Attorney Docket No.  | 22501-05496         |

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☒ None  
☐ Deposit Account:

Deposit Account Number **19-2555**

Deposit Account Name **Fenwick & West LLP**

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below  
☒ Charge any additional fee(s) required under 37 CFR 1.16 - 1.21 or credit any overpayments to the deposit account.<sup>†</sup>  
☐ Charge fee(s) indicated below, except for the filing fee to the deposit account.

A Duplicate Copy of this authorization is attached

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 750      | 2001         | 375      | Utility filing fee     |          |
| 1002         | 330      | 2002         | 165      | Design filing fee      |          |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004         | 375      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          | (\$)                   | 0.00     |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Extra Claims |         | Fee from below |    | Fee Paid |
|--------------------|--------------|---------|----------------|----|----------|
|                    |              |         |                |    |          |
| Total Claims       | 14           | -20**=0 | X              | 9  | = 0.00   |
| Independent Claims | 2            | -3**=0  | X              | 42 | = 0.00   |
| Multiple Dependent |              |         |                |    |          |

| Large Entity |          | Small Entity |          | Fee Description                                           | Fee Paid |
|--------------|----------|--------------|----------|-----------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                           |          |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                    |          |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                         |          |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                     |          |
| 1204         | 84       | 2204         | 42       | **Reissue independent claims over original patent         |          |
| 1205         | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description                                                            | Fee Paid |
|--------------|----------|--------------|----------|----------------------------------------------------------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                                                            |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath                                        |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification                                                  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month <sup>†</sup>                        |          |
| 1252         | 410      | 2252         | 205      | Extension for reply within second month <sup>†</sup>                       |          |
| 1253         | 930      | 2253         | 465      | Extension for reply within third month <sup>†</sup>                        |          |
| 1254         | 1,450    | 2254         | 725      | Extension for reply within fourth month <sup>†</sup>                       |          |
| 1255         | 1,970    | 2255         | 985      | Extension for reply within fifth month <sup>†</sup>                        |          |
| 1401         | 320      | 2401         | 160      | Notice of Appeal                                                           |          |
| 1402         | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403         | 280      | 2403         | 140      | Request for oral hearing                                                   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable                                           |          |
| 1453         | 1,300    | 2453         | 650      | Petition to revive - unintentional                                         |          |
| 1501         | 1,300    | 2501         | 650      | Utility issue fee (or reissue)                                             |          |
| 1502         | 470      | 2502         | 235      | Design issue fee                                                           |          |
| 1503         | 630      | 2503         | 315      | Plant issue fee                                                            |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner                                              |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(g)                                        |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Statement                             |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809         | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801         | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

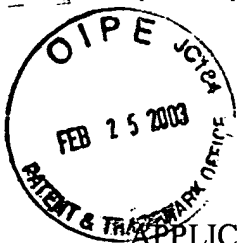
SUBTOTAL (3) (\$)

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

|                   |                  |                                   |                   |                          |                          |
|-------------------|------------------|-----------------------------------|-------------------|--------------------------|--------------------------|
| Name (Print/Type) | Rajiv P. Patel   | Registration No. (Attorney/Agent) | 39,327            | Complete (if applicable) | Telephone (650) 335-7607 |
| Signature         | <i>Raj Patel</i> | Date                              | February 19, 2003 |                          |                          |

<sup>†</sup>Request for Extension of Time per 37 CFR 1.136(a)(3) made hereby



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

#17/c  
LDT  
3-3-03  
entered

APPLICANT: Stanislav Khirman, Mark Ronald Stone, Oren Arial and Ori Cohen  
SERIAL NO.: 09/397,491  
FILING DATE: September 15, 1999  
TITLE: Method and Apparatus for Providing Additional Information in  
Response to an Application Server Request  
EXAMINER: George C. Neurauter  
GROUP ART UNIT: 2143  
ATTY. DKT. NO.: 22501-05496

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Dated: February 19, 2003

By: 

Rajiv P. Patel, Reg. No. 39,327

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COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

**AMENDMENT C AND RESPONSE**  
**UNDER 37 C.F.R. § 1.111**

In response to the Official Action mailed November 19, 2002 which set a shortened statutory period for response that expires on February 19, 2003, kindly enter the following amendments and remarks.

Kindly amend this application as indicated herein.